



Utah  
Department  
of Commerce  
Division of Securities

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**WHISTLEBLOWER REFERRAL**

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P.O. Box 146760  
Salt Lake City, Utah 84114-6760  
Phone: (801)530-6600 · Fax: (801)530-6980  
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Pursuant to Utah Code § 6-1-103(2)(a) except as provided in Subsection (2)(b), the division, commission, or an employee of the division may not disclose information that could reasonably be expected to reveal the identity of a reporter.

**Section I.**

**CONTACT INFORMATION\***

_____		_____		_____	
Last Name		First Name		Middle Initial	
_____		_____		State	Zip Code
Home Street Address		City			
_____		_____		State	Zip Code Home
Work Street Address		City			
Home Phone:"	_____	""	_____	Work Rj qpg<	_____
Cell'Rj qpg<	_____	E-mail:	_____		

\* I wish to remain anonymous. In order to be eligible for a Reporter Award, please provide the name and contact information below for your legal counsel.

_____	
Name	Address
_____	
Phone Number	Email



**Referral Information:** *In your own words, briefly explain your referral. Specifically, please explain how you became aware of the issue and any other pertinent facts.*

**FORM INSTRUCTIONS:**

If you need additional space for any of your responses, please attach additional pages and indicate to which question the additional pages relate.

**Please attach copies of all documents relevant to your referral.** These could include cancelled checks (both sides), written agreements or contracts, promissory notes, account statements, emails and other correspondence, prospectuses, sales brochures, etc.

When you have completed this form, please send it (along with any attachments) to the Division of Securities at the email address, fax number, or mailing address listed at the top of this form.

Please be advised although the Division does work to see that appropriate restitution is paid to victims of securities fraud, the Division will not act as your attorney for purposes of recovering a lost investment. Any person who has lost money as the victim of an unlawful securities transaction should immediately contact his or her personal attorney about the possibility of bringing a civil lawsuit to recover lost funds.

Specific conditions which apply to the consideration of the award, including the entire “Securities Fraud Reporting Program Act” (Utah Code 61-1-101 through 61-1-108, effective date May 10, 2011), can be found at [https://le.utah.gov/xcode/Title61/Chapter1/61-1-P1.html?v=C61-1-P1\\_1800010118000101](https://le.utah.gov/xcode/Title61/Chapter1/61-1-P1.html?v=C61-1-P1_1800010118000101).