

MELVIN C. WILSON
Davis County Attorney
P. O. Box 618
800 West State Street
Farmington, Utah 84025
Telephone: (801) 451-4300
Fax: (801) 451-4328

IN THE DISTRICT COURT OF THE SECOND JUDICIAL DISTRICT
IN AND FOR THE COUNTY OF DAVIS, STATE OF UTAH

<p>THE STATE OF UTAH Plaintiff, vs. OSCAR WESLEY MINK DOB: 05/19/1954 Defendant.</p>	<p>Bail: INFORMATION Case No. 061701264 OTN</p>
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The undersigned prosecutor states on information and belief that the defendant, either directly or as a party, during 2001 through 2002 at County of Davis, State of Utah, committed the crimes of:

COUNT 1

SECURITIES FRAUD, (941) 61-1-1 UCA, a second degree felony, as follows:

That at the time and place aforesaid the defendant , in connection with the offer, sale, or purchase of a security, directly or indirectly, did make an untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading and at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more.

COUNT 2

SALE OF UNLICENSED SECURITY, (2030) 61-1-7 UCA, a third degree felony, as follows: That at the time and place aforesaid the defendant did willfully offer or sell

any security in this state which was not properly registered, or was not exempted under Utah Code § 61-1-14, or the security was not a federal covered security for which a notice filing has been made pursuant to the provisions of Utah Code § 61-1-15.5.

COUNT 3

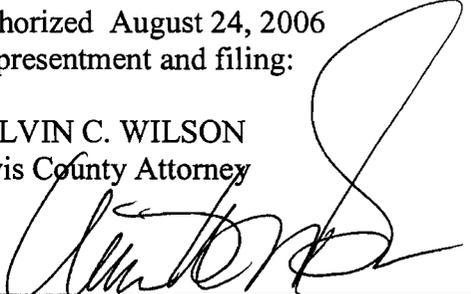
SALE OF SECURITY BY UNLICENSED BROKER-DEALER, (500084) 61-1-3

UCA, a third degree felony, as follows: That at the time and place aforesaid the defendant did transact business as a broker-dealer or agent without first being licensed as such, or did transact business as an investment adviser or as an investment adviser representative without first being licensed as such.

This Information is based on evidence obtained from witness Susan M. Jones.

Authorized August 24, 2006
for presentment and filing:

MELVIN C. WILSON
Davis County Attorney

By 
Deputy Davis County Attorney