

JEFFREY R. BUHMAN #7041
 Utah County Attorney
 100 East Center, Suite 2100
 Provo, Utah 84606
 Telephone: (801) 851-8026
 Fax: (801) 851-8051

IN THE FOURTH JUDICIAL DISTRICT COURT
 IN AND FOR UTAH COUNTY, STATE OF UTAH

THE STATE OF UTAH,

Plaintiff,

vs.

KEITH RICHARD ANDERSON
 560 North 75 West
 Springville UT 84663
 DOB: 2/29/1968

Defendant.

INFORMATION

Case No.

Judge

OTN

JEFFREY R. BUHMAN, Utah County Attorney, State of Utah, accuses the defendant(s) of the following crime(s):

COUNT 1: SECURITIES FRAUD, a second degree felony, in violation of Utah Code Ann. § 61-1-1, in that the above named defendant, on or about 1/31/2003, in Utah County, Utah, in connection with the offer, sale, or purchase of a security, directly or indirectly, did:

- (1) employ a device, scheme, or artifice to defraud;
- (2) make an untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading;
- or
- (3) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and
- (i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or
- (ii) (A) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and
- (B) in connection with that violation, the violator knowingly accepted any money representing:
 - (I) equity in a person's home;
 - (II) a withdrawal from any individual retirement account; or
 - (III) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

COUNT 2: SECURITIES FRAUD, a second degree felony, in violation of Utah Code Ann. § 61-1-1, in that the above named defendant, on or about 2/25/2003, in Utah County, Utah, in connection with the offer, sale, or purchase of a security, directly or indirectly, did:

(1) employ a device, scheme, or artifice to defraud;

(2) make an untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading; or

(3) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and

(i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or

(ii) (A) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and

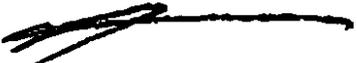
(B) in connection with that violation, the violator knowingly accepted any money representing:

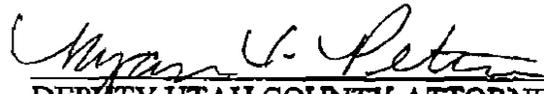
(I) equity in a person's home;

(II) a withdrawal from any individual retirement account; or

(III) a withdrawal from any qualified retirement plan as defined in the Internal Revenue Code.

This Information is based on evidence provided by Dave Hermansen, Utah Division of Securities.


UTAH COUNTY ATTORNEY
January 28, 2008


DEPUTY UTAH COUNTY ATTORNEY
(for) ALEXANDER M. LUDLOW