

DIVISION OF SECURITIES
KEITH WOODWELL, DIRECTOR
DEPARTMENT OF COMMERCE
P.O. BOX 146760
160 EAST 300 SOUTH
SALT LAKE CITY, UTAH 84114-6711
Telephone: (801) 530-6628

BEFORE THE DIVISION OF SECURITIES
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH

IN THE MATTER OF:

SCHEDULING ORDER

NOTICE OF HEARING

**MARKHAM L. CALDWELL,
CRD#2837332,**

CASE NO. SD-13-0015

RESPONDENT

BY THE PRESIDING OFFICER:

The notice of agency action and order to show cause in this matter were sent by the Division of Securities (Division) to Markham L. Caldwell (Respondent) on February 6, 2013. Respondent has not filed an answer; however, the Division has to date declined to move for a default order and will work with Respondent to establish a deadline for the filing of a hearing brief if settlement negotiations fail. A prehearing conference was held on March 20, 2013.

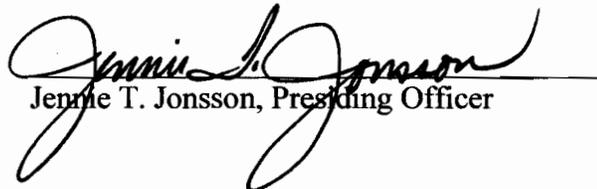
The presiding officer now enters the following scheduling order:

1. The parties shall comply with the initial disclosure requirement of Utah Administrative Code § R151-4-503 by April 3, 2013.
2. The parties shall complete discovery by June 6, 2013.

3. Any motion for summary judgment, judgment on the pleadings, or other dispositive motion shall be filed no later than July 8, 2013. If any such motion is filed, the other party shall have ten calendar days from the date of filing to respond, and the moving party shall have five business days from the date of response to reply. *See* Utah Administrative Code §§ R151-4-303(2) and R151-4-107(2). If oral argument is required or requested on a dispositive motion, the presiding officer will issue a supplemental scheduling order setting a date and time.
4. Pursuant to Utah Administrative Code § R151-4-504(2), the parties shall exchange final disclosures by July 8, 2013.
5. Should either party determine to use an expert witness, the party shall disclose the expert's name, contact information, and written report no later than July 8, 2013.
6. Unless this matter is settled through a stipulated agreement, resolved through an order issued on a prehearing motion, or continued for good cause, a hearing shall take place before the Utah Securities Commission on September 26, 2013.

DATED this 20th day of March, 2013.

UTAH DEPARTMENT OF COMMERCE


Jennie T. Jonsson, Presiding Officer

I hereby certify that on the 20 day of March, 2013, the undersigned served a true and correct copy of the foregoing document by first class mail, postage prepaid, to:

Markham L. Caldwell
6262 Willow Creek Rd.
Mountain Green, UT 84050

Tara L. Isaacson
Bugden & Isaacson LLC
445 East 200 South, Ste. 150
Salt Lake City, UT 84111

and caused a copy to be hand delivered to:

D. Scott Davis, Assistant Attorney General
Division of Securities
Heber M. Wells Building, 5th Floor

Charles Lyons
Division of Securities
Heber M. Wells Building, 2nd Floor



A handwritten signature in cursive script, appearing to read "Markham", is written over a horizontal line.