



1. Jeffrey Kahn. Kahn may be contacted through his counsel, Bennett Tueller Johnson & Deere, 3165 East Millrock Drive, Suite 500, Salt Lake City, UT 84121, telephone (801) 438-2000. Kahn has information regarding all aspects of this case.

2. Richard T. Budge. Richard T. Budge is the current CEO of RJR Investments, Inc. (“RJR”) and is or was the registered agent of RJR. His last known address is 1447 N. East Hills Circle, Bountiful, UT, 84010, telephone (801) 820-5327. Mr. Budge has information regarding all aspects of this case.

3. Roshelle C. Lucky. Ms. Lucky’s last known address is 13744 Vestry Road, Draper, UT 84020, telephone (801) 619-6306. Ms. Lucky has information regarding all aspects of this case.

4. Kahn herein incorporates by reference those individuals disclosed by The Division of Securities of the Utah Department of Commerce.

5. All of RJR’s clients. The contact information for those clients is available through RJR.

6. Kahn reserves the right to supplement these disclosures as discovery and investigation reveals additional individuals who may have information pertinent to this action.

**(b)(i) Discoverable Documents, Data Compilations, and Tangible Things**

Pursuant to Utah Admin. R. 151-4-503(b), the following documents, data compilations, and tangible things, are either produced herewith or described by category and location together with a description of when and how those documents and things may be accessed.

Documents relating to Kahn's involvement with RJR, RJR's clients, Southwest Trust Company, and Colorado State Bank and Trust, including client lists, managed accounts, correspondence, agreements, brochures, forms, and other pertinent documents, will be made available for inspection at the office of Bennett Tueller Johnson & Deere, 3165 East Millrock Drive, Suite 500, Salt Lake City, UT 84121. Appointments to make such inspections may be made with Kahn's counsel and shall be made at least five business days prior to any proposed inspection.

DATED this 10<sup>th</sup> day of <sup>May</sup>~~April~~, 2013.

BENNETT TUELLER JOHNSON & DEERE

  
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Jeremy C. Reutzel  
Eric Boyd Vogeler  
*Attorneys for Jeffrey Kahn*

**CERTIFICATE OF SERVICE**

I hereby certify that on this 10th day of ~~April~~<sup>May</sup>, 2013, I caused to be hand-delivered, a signed original and a true and correct copy, respectively, of the foregoing **RESPONDENT'S** **RULE 151-4-503 INITIAL DISCLOSURES** upon the following:

**Original:**

Administrative Court Clerk  
c/o Julie Price  
Utah Division of Securities  
160 E. 300 S., 2<sup>nd</sup> Floor  
Box 146760  
Salt Lake City, UT 84114-6760

**Copy:**

D. Scott Davis  
Assistant Attorney General  
Utah Division of Securities  
160 East 300 South, 5<sup>th</sup> Floor  
Salt Lake City, UT 84114-0872

