

STATE OF UTAH
OFFICE OF THE ATTORNEY GENERAL



JOHN E. SWALLOW
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April 23, 2013

Transmitted via First class mail

Nathan D Miller
Jensen, Duffin & Dibb, LLP
311 So State Street, Suite 380
Salt Lake City, Utah 84111

Re: In the matter of Scott L. Steinmetz SD-13-0013.
Utah Division of Securities

Dear Mr. Miller

In accordance with Rule R151-4-503 of the Utah Administrative Code and the scheduling order in this matter, I am providing you with the Division's initial disclosures.

The individuals known to the Division who are likely to have discoverable information supporting the Division's claims or defenses are as follows:

Scott L. Steinmetz

Darren Miller
Securities Examiner
Division of Securities
P.O. Box 146760

Salt Lake City, Utah 84114-6760

Ken Barton
Director of Licencing and Compliance
Division of Securities
P.O. Box 146760
Salt Lake City, Utah 84114-6760

Kenneth M. Carrillo
325 North 400 East
Hyde Park, Utah 84318
435-797-3745

Kurt and Christine Adams
490 East Main St.
Hyrum, Utah 84319
435-245-6973

John Gilbert
42 South 300 West
Richmond, Utah 84333
435-258-5285

Lorin Mortensen
251-South 830 East
Smithfield, Utah 84335
435-563-0795

Jonathon Smith
47 East 450 South
Fielding, Utah 84311
435-230-3815

Barry Sorenson
315 Edgewood Drive
Providence, Utah 84332
435-753-7714

Kevin Drollinger
53 Wapiti Loop
Hyrum, Utah 84319

Other witnesses as may become known to the Division through discovery or other avenues.

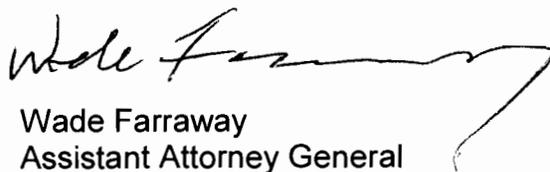
I am also providing you copies of the relevant and non-privileged contents of the Division's investigative file. Please note that I am not providing you with the Division's investigative summary because it is a protected record under the Government Records Access and Management Act ("GRAMA") and is privileged from discovery. See, for example, Utah Code Ann. §§ 63G-2-305 (9), (16), and (17).

In addition, many of the documents that have been provided to you have been redacted of information that is non-public and may not be released. The bases for such redactions include but are not limited to:

Records containing private information as defined in Utah Code Ann. § 63G-2-302(2)(b) and (d).

If you have any questions or would like to discuss this case, I can be reached at (801) 366-0310 or wfarroway@utah.gov.

Sincerely,


Wade Faraway
Assistant Attorney General

encl.