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**BEFORE THE DIVISION OF SECURITIES
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH**

IN THE MATTER OF:

MARK JAMES SAIN,

Respondent.

INITIAL DISCLOSURES

Docket No. SD-12-0076

The State of Utah, Department of Commerce, Securities Division (Division) hereby submits its initial disclosures as required by Utah Administrative Code R151-4-503, and the scheduling order issued in this case.

WITNESSES (with discoverable information):

1. Mark James Sain
2. Kelly Thane Edwards
14147 Pheasant Hollow
Bluffdale, UT 84065
(801) 446-5113
3. Kristi Wilkinson, Investigator
Utah Division of Securities
160 East 300 South, 2nd Floor
Salt Lake City, UT 84111
(801) 530-6600

Other witnesses as may become known to the Division through discovery or other

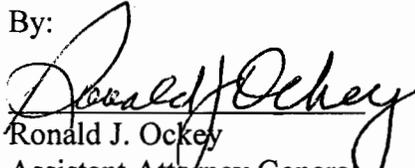
avenues.

EVIDENCE: See accompanying CD-Rom.

Other evidence as may become known to the Division through discovery or other avenues.

Dated this 20th day of March, 2013.

By:


Ronald J. Ockey
Assistant Attorney General

CERTIFICATE OF SERVICE

I CERTIFY that I have on this 20th day of March, 2013, served a true and correct copy of the foregoing document on the following:

MARK JAMES SAIN C/O DAVID O. BLACK BLACK & ARGYLE PC WILLIAMSBURG OFFICE PARK 5806 SOUTH 900 EAST SALT LAKE CITY UT 84121-1621	Sent via: <input type="checkbox"/> Hand-Delivery <input type="checkbox"/> Facsimile <input checked="" type="checkbox"/> U.S. First Class Mail, postage prepaid <input type="checkbox"/> Other:
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Name: Ronald J. Ockey
Title: Assistant Attorney General