

DIVISION OF SECURITIES
KEITH WOODWELL, DIRECTOR
DEPARTMENT OF COMMERCE
P.O. BOX 146741
160 EAST 300 SOUTH
SALT LAKE CITY, UTAH 84114-6711
Telephone: (801) 530-6628

BEFORE THE DIVISION OF SECURITIES
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH

IN THE MATTER OF

ORDER ON MOTION FOR DEFAULT

**BREAKTHROUGH TECHNOLOGIES
CHARLES ROSS CHATWIN, CRD#1080299
MARK ANDREW JACKSON,**

**CASE NO. SD-12-0071
CASE NO. SD-12-0072
CASE NO. SD-12-0073**

RESPONDENTS

BY THE UTAH SECURITIES COMMISSION:

The presiding officer's February 6, 2013 recommended order on motion for default in this matter is hereby approved, confirmed, accepted, and entered by the Utah Securities Commission.

ORDER

Respondents are hereby ordered cease and desist from engaging in any further conduct in violation of Utah Code § 61-1 et seq.

Respondents are hereby ordered to pay a fine of \$348,750 to the Utah Division of Securities, with any restitution paid to investors serving to offset the administrative fine on a dollar-to-dollar basis.

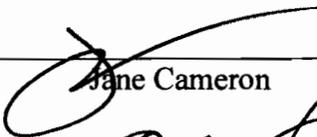
This order shall be effective on the signature date below.

DATED this 28 day of March, 2013

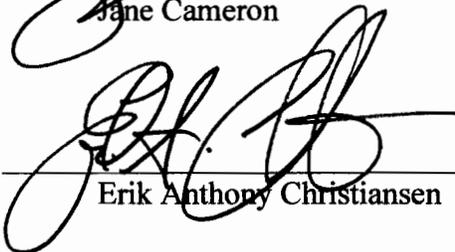
UTAH SECURITIES COMMISSION:



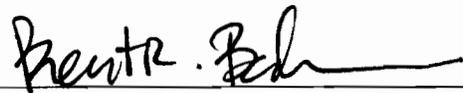
Tim Bangerter



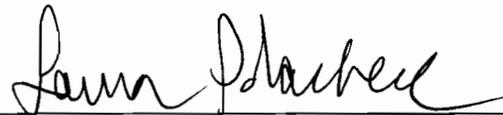
Jane Cameron



Erik Anthony Christiansen



Brent Baker



Laura Polacheck

NOTICE OF RIGHT TO ADMINISTRATIVE REVIEW

A request or motion to set aside this order by default may be filed with the presiding officer and/or with the Director of the Division of Securities pursuant to Utah Code Ann. § 63G-4-209(3)(a) and the Utah Rules of Civil Procedure. If a defaulted party wishes a review of the presiding officer's decision on a motion to set aside a default, Utah Code Ann. § 63G-4-209(3)(c) provides that agency review of the presiding officer's decision on a motion to set aside a default order may be obtained by filing a request for agency review with the Executive Director, Department of Commerce, 160 East 300 South, Box 146701, Salt Lake City, Utah 84114-6701, within thirty (30) days after the date of the presiding officer's decision. The agency action in this case was a formal proceeding. The laws and rules governing agency review of this proceeding are found in Title 63G, Chapter 4 of the Utah Code, and Rule 151-4 of the Utah Administrative Code.

CERTIFICATE OF SERVICE

I hereby certify that on the 29 day of March, 2012, the undersigned served a true and correct copy of the foregoing ORDER ON MOTION FOR DEFAULT by mailing a copy through first-class mail, postage prepaid, to:

Breakthrough Technologies
Mark Andrew Jackson
915 East Ft. Pierce Dr.
St. George, UT 84790

Charles Ross Chatwin
P.O. Box 110
Colorado City, AZ 86021

and caused a copy to be hand delivered to:

D. Scott Davis, Assistant Attorney General
Office of the Attorney General of Utah

Ann Skaggs, Securities Analyst
Utah Division of Securities



DIVISION OF SECURITIES
KEITH WOODWELL, DIRECTOR
DEPARTMENT OF COMMERCE
P.O. BOX 146741
160 EAST 300 SOUTH
SALT LAKE CITY, UTAH 84114-6711
Telephone: (801) 530-6628

BEFORE THE DIVISION OF SECURITIES
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH

IN THE MATTER OF

**BREAKTHROUGH TECHNOLOGIES
CHARLES ROSS CHATWIN, CRD#1080299
MARK ANDREW JACKSON,**

RESPONDENTS

**RECOMMENDED ORDER ON
MOTION FOR DEFAULT**

CASE NO. SD-12-0071
CASE NO. SD-12-0072
CASE NO. SD-12-0073

BY THE PRESIDING OFFICER:

This adjudicative proceeding was initiated pursuant to a December 10, 2012 notice of agency action. A response to the accompanying order to show cause was due by January 14, 2013. The notice specified that a failure to comply with the deadline for response would result in the entry of a default order against Respondents without any further notice.

A prehearing conference was held on February 6, 2013. Respondents failed to appear. As of the date of this order, Respondents have not filed a response to the Division's order to show cause or made any effort to participate in the proceeding. Therefore, the presiding officer finds

that, pursuant to Utah Code § 63G-4-209(1)(a) and (c), proper factual and legal bases exist for entering a default order against Respondents.

RECOMMENDED ORDER

Based on the foregoing, the presiding officer recommends that the Utah Securities Commission accept the allegations outlined in the Division's order to show cause as being true, to wit:

1. That the investment opportunities offered and sold by Respondents are securities under Utah Code Ann. § 61-1-13(ee)(i);
2. That in connection with the offer and sale of securities, and in violation of Utah Code Ann. § 61-1-1(2), Respondents directly or indirectly made false statements to investors;
3. That in connection with the offer and sale of securities, and in violation of Utah Code Ann. § 61-1-1(3), Respondents engaged in an act, practice, or course of business, which operated as a fraud or deceit upon investors;
4. That in violation of Utah Code Ann. § 61-1-3(3), Respondents Jackson and Chatwin acted as investment advisers in the offer and/or sale of a security in Utah without being properly licensed in the securities industry; and
5. That Respondents' actions, which constitute one or more violations of Utah Code § 61-1-1, are grounds for sanction under the Act.

The presiding officer further recommends that the Utah Securities Commission enter a default order against Respondents, requiring them:

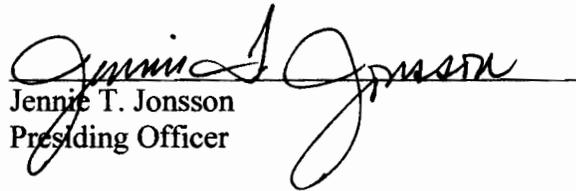
1. To cease and desist from engaging in any further conduct in violation of Utah Code § 61-1 et seq; and

2. To pay a fine of \$348,750 to the Utah Division of Securities, with any restitution paid to investors serving to offset the administrative fine on a dollar-to-dollar basis.

This recommended order shall be effective on the signature date below.

DATED this 7th day of February, 2013.

UTAH DEPARTMENT OF COMMERCE


Jennie T. Jonsson
Presiding Officer