

Division of Securities
Utah Department of Commerce
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**BEFORE THE DIVISION OF SECURITIES
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH**

IN THE MATTER OF:	DEFAULT ORDER
INVESTOR STRATEGY CONSULTING, INC., ROBERT WADE TARRANT	Docket No. SD 10-0083
Respondents.	Docket No. SD 10-0084

I. BACKGROUND

A formal adjudicative proceeding was initiated against Investor Strategy Consulting, Inc. (ISC) and Robert Wade Tarrant (Tarrant) by the Division's Order to Show Cause (OSC) dated December 20, 2010. A Notice of Agency Action accompanying the OSC advised them to file an answer within thirty days and appear at a scheduling hearing set for February 1, 2011 or default would be entered against them. The OSC and Notice were sent by certified mail to Tarrant's last known address. The notice was returned undeliverable citing the current address was not known.

On February 1, 2011, neither ISC nor Tarrant appeared at the scheduling hearing. D. Scott Davis stated that the Division would seek a current address. The Division has been unable to find an updated address.

Criminal charges were also filed against Tarrant for related conduct on December 14, 2010. The court issued a warrant that same day which is still outstanding as of the date of this order.

Respondents have not responded to the Division's OSC nor did they appear for the scheduling hearing. Thus, on August 1, 2011 the Division filed a motion for default to be entered against Respondents.

On October 18, 2011, Judge Eklund issued a Recommended Order. The Recommended Order provides that Respondents "cease and desist from engaging in any further conduct in violation of § 61-1-1 or any other section of the Utah Uniform Securities Act." It further provides that Respondents "pay a \$187,000 fine to the Division."

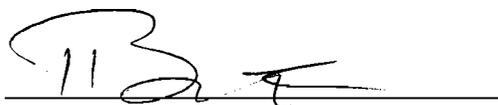
II. ORDER

Based on the above, the Securities Commission hereby:

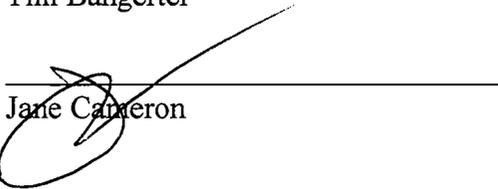
1. Declares Respondents in default for failing to file a response to the Division's Order to Show Cause within thirty days of its filing and of the extended deadline.
2. Declares Respondents in default for failing to appear at the scheduling hearing on February 1, 2011.
3. Enters, as its own findings, the Finding of Fact described in the OSC.

4. Enters, as its own conclusions, the Conclusions of Law described in the OSC.
5. Finds that Respondents violated the Utah Uniform Securities Act by misstating material facts in connection with the offer and sale of a security in or from Utah in violation of § 61-1-1(2).
6. Finds that Respondents violated the Utah Uniform Securities Act by failing to disclose material information which was necessary to make the statements made not misleading, in connection with the offer and sale of a security in or from Utah in violation of § 61-1-1(2).
7. Orders Respondent to permanently CEASE and DESIST from any violations of the Act.
8. Orders Tarrant to pay a fine of (\$ 187,000⁰⁰) to the Division within 30 days of the entry of this Order.

DATED this 27 day of October 2011.

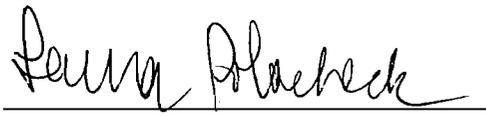


Tim Bangerter



Jane Cameron

Erik Christiansen



Laura Polacheck



Jan Graham

Pursuant to § 63-46b-11(3), Respondents may seek to set aside the Default Order entered in this proceeding by filing such a request with the Division consistent with the procedures outlined in the Utah Rules of Civil Procedure.

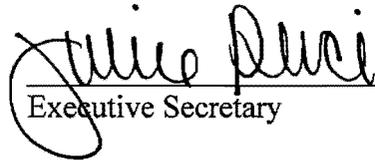
CERTIFICATE OF MAILING

I certify that on the 3rd day of ~~October~~ November, 2011, I mailed a true and correct copy of

the Notice of Entry of Default and Order to:

Investor Strategy Consulting, Inc.
Robert Wade Tarrant
1517 Regency Road #65
Gulf Shores, AL 36542

Certificate# 7057 0220 0001 0043 UTM


Executive Secretary

And hand-delivered to:

D. Scott Davis, Assistant Attorney General
Office of Attorney General of Utah

Thomas Brady, Securities Analyst
Utah Division of Securities

**BEFORE THE DIVISION OF SECURITIES
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH**

**IN THE MATTER OF
INVESTOR STRATEGY CONSULTING,
INC. AND ROBERT WADE TARRANT**

**NOTICE OF ENTRY OF DEFAULT
FINDINGS OF FACT, CONCLUSIONS
OF LAW AND RECOMMENDED ORDER
CASE NO. SD-10-0083
CASE NO. SD-10-0084**

BY THE ADMINISTRATIVE LAW JUDGE:

This adjudicative proceeding was initiated pursuant to a December 20, 2010 Notice of Agency Action. A response to the accompanying Order to Show Cause was due by January 20, 2011. The notice of agency action also recites a prehearing conference was to be conducted on February 1, 2011.

The notice of agency action was sent by certified mail to Respondents at their last known address of 1517 Regency Road, Gulf Shores, AL 36542. However, the notice was returned to the Division with a notation by postal authorities that delivery of the mailing was attempted, but the current address is not known.

An attempt was made to conduct the prehearing conference as thus scheduled. D. Scott Davis was present, representing the Division through the Office of the Utah Attorney General. Neither Respondent Investor Strategy Consulting, Inc. nor Respondent Robert Wade Tarrant appeared for that conference. Mr. Davis informed the

Court that the Division would attempt to identify a current address as to serve an amended notice of agency action for a rescheduled prehearing conference.

The Court did not issue a written scheduling order to that effect. The Division's file does not reflect that the Division identified a current address for Respondents or that any amended notice of agency action was served through any such address. The Division filed an undated motion to prompt the entry of a default judgment. The motion recites that related criminal charges were filed in a Third Judicial District Court proceeding against Respondent Tarrant on December 14, 2010. The motion also recites that a warrant for Respondent Tarrant's arrest was also issued on that date.

A copy of the Division's motion was sent by regular mail to Respondents at the same address used by the Division for the certified mailing of the December 20, 2010 Notice of Agency Action. The Division's motion recites that Respondents did not appear for the February 1, 2011 prehearing conference and have not filed a response within the required thirty (30) days. The Division thus seeks entry of a default judgment in this proceeding.

U.C.A. §63G-4-209(1)(b) and (c) provides an order of default may be entered against a party in a formal proceeding if the party "fails to attend or participate in a properly scheduled hearing after receiving proper notice" or "a respondent in a formal adjudicative proceeding fails to file a response" under §63G-4-302.

FINDINGS OF FACT

1. Absent any matters offered in defense or mitigation, the Court adopts the allegations set forth in Paragraphs 2 through 62 of the December 20, 2010 Order to Show Cause as its Findings of Fact.

CONCLUSIONS OF LAW

The Court adopts the cause of action set forth in Paragraphs 63 through 66 of the December 20, 2010 Order to Show Cause as its Conclusions of Law. Respondents have engaged in securities fraud in violation of §61-1-1 of the Utah Uniform Securities Act. Accordingly, the Court concludes a proper factual and legal basis exists to submit the following Recommended Order to the Commission for its review and action:

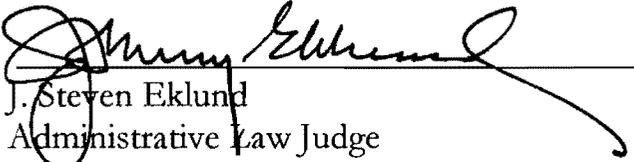
RECOMMENDED ORDER

WHEREFORE, IT IS ORDERED Respondents shall cease and desist from engaging in any further conduct in violation of §61-1-1 or any other section of the Utah Uniform Securities Act.

IT IS FURTHER ORDERED Respondents shall pay a fine to the Division in an amount to be determined by the Commission in accordance with R164-31-1, which may be reduced by restitution paid by Respondents to any investor.

I hereby certify the foregoing Notice of Entry of Default, Findings of Fact, Conclusions of Law and Recommended Order was submitted to the Commission on the

15th day of September 2011 for its review and action on the 22ND day of September 2011.


J. Steven Eklund
Administrative Law Judge
Department of Commerce