

Jeffrey Buckner (4546)  
Assistant Attorney General  
Mark L. Shurtleff (4666)  
Utah Attorney General's Office  
Commercial Enforcement Division  
160 East 300 South, Fifth Floor  
P. O. Box 140872  
Salt Lake City, UT 84114-0872  
Attorneys for Utah Division of Securities  
Telephone: (801) 366-0310  
Fax: (801) 366-0315

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**BEFORE THE DIVISION OF SECURITIES  
OF THE DEPARTMENT OF COMMERCE  
OF THE STATE OF UTAH**

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**IN THE MATTER OF THE LICENSES  
OF:**

**WING HAVEN FARM, LLC  
GARY G. HATCH,**

**Respondents.**

**WITNESS AND EXHIBIT LIST**

**Docket No. SD-10-10-0072  
Docket No. SD-10-10-0073**

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Witnesses (Will Call)

The Division of Securities (Division) identifies the following persons as witnesses it will call in its case-in-chief at the trial set for September 22, 2011:

1. Gary G. Hatch (will testify to the allegations in the petition, and the mare leasing program).
2. Thomas Brady, Securities Analyst (will testify to the investigation, the allegations in the petition, bank records, financial transactions, and the mare leasing program as a security)

Witnesses (May Call)

1. Investors DC and MC (will testify to their investment in mare leasing program, statements and representations made about it).
2. Michael Hines, Director of Enforcement (will testify to the mare leasing program as a security).
3. The Division reserves the right to call any person as a witness in rebuttal or suggested by the evidence.

Exhibits

The Division identifies the following documents as exhibits to be introduced at trial.

1. Any and all papers and pleadings filed in this matter.
2. Bate Nos. 2-70; 73-200; 288-289; 295-694; 909-1095; 1097-1291; 1293-1361; 1380-84 (Zions Bank records, Western Capital Management records, Chase Bank records, transaction reports, authorized bank signature card, and other financial records for Hatch, various family members, Wing Haven, McKenzie-Finch and other entities) (Exhibit 1).
3. Bate Nos. 203; 293-294; 1557-1565 (business entity, principal searches) (Exhibit 2).
4. Bate Nos. 1598-1601; 1617-19 (CRD printouts) (Exhibit 3).
5. Bate Nos. 204-215; 1566-97; 1602-16; 1620-23 (judgment debtor form and information, court exchange dockets and printouts) (Exhibit 4).
6. Bate Nos. 238-287 (mare leasing program, boarding agreement, foal agreement, e-mail.

correspondence concerning the program) (Exhibit 5).

7. Any and all documents produced by Respondents.
8. Any and all recorded statements by Respondents or other witness not previously identified.

The Division reserves the right to amend this list when a trial date is set.

Respectfully submitted this 8 day of September 2011.

MARK L. SHURTLEFF  
UTAH ATTORNEY GENERAL

  
Jeffrey Buckner  
Assistant Attorney General

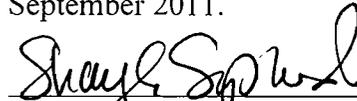
**CERTIFICATE OF SERVICE**

I, Shayla Shepherd, hereby certify that I have this day served a copy of the foregoing

**Witness and Exhibit List** by mailing a copy, with postage prepaid, to

Wing Haven Farm, LLC  
Gary G. Hatch  
6905 South 1300 East #240  
Midvale, Utah 84047

Dated at Salt Lake City, Utah this 8 day of September 2011.

  
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Shayla Shepherd