

# STATE OF UTAH

OFFICE OF THE ATTORNEY GENERAL



JOHN E. SWALLOW

ATTORNEY GENERAL

KIRK TORGENSEN  
Chief Deputy

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General Counsel

April 11, 2013

*Transmitted via First class mail*

Marcus Mumford  
Mumford Rawson LLC.,  
15 West South Temple, Suite 1000  
Salt Lake City, UT 84101

Re: *In the matter of: Arpeggio Investments, LLC; Stanley Duane Parrish;  
and Tyson D. Williams.*  
Utah Division of Securities, Case Nos. SD-010-0065, 0067, 0069

Dear Mr. Mumford

In accordance with Rule R151-4-503 of the Utah Administrative Code and the scheduling order in this matter, I am providing you with the Division's initial disclosures.

The individuals known to the Division who are likely to have discoverable information supporting the Division's claims or defenses are as follows:

Tyson Williams

Stanley Parrish

Stephen Trump  
577 East 850 South  
Centerville, UT 84014

Dave Hermansen

Dept. of Commerce  
160 East 300 South  
P.O. Box 146760  
Salt Lake City, Utah 84114  
(801)530-6600

Jeff Nielsen  
Dept. of Commerce  
160 East 300 South  
P.O. Box 146760  
Salt Lake City, Utah 84114  
(801)530-6600

Jaimee Cochran  
6478 Lone Rock Road  
Highland, Utah 84003  
(801)592-3366

Mathew Garner  
97 West 425 South  
Centerville, Utah 84014  
(801)628-6179

Jordan Perri  
1169 Sunrise Circle  
Washington, Utah 84780  
(801)427-8922

Nathan MacDonald  
380 South 50 West  
Orem, Utah, 84058

Other witnesses as may become known to the Division through discovery or other avenues.

I am also providing you copies of the relevant and non-privileged contents of the Division's investigative file. Please note that I am not providing you with the Division's investigative summary because it is a protected record under the Government Records Access and Management Act ("GRAMA") and is privileged from discovery. See, for example, Utah Code Ann. §§ 63G-2-305 (9), (16), and (17).

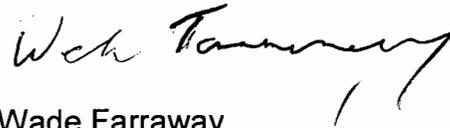
In addition, many of the documents that have been provided to you have been redacted of information that is non-public and may not be released. The bases for such redactions include but are not limited to:

Records containing private information as defined in Utah Code Ann. § 63G-2-

302(2)(b) and (d).

If you have any questions or would like to discuss this case, I can be reached at (801) 366-0310 or [wfarraway@utah.gov](mailto:wfarraway@utah.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Wade Farraway". The signature is fluid and cursive, with a long, sweeping tail on the final letter.

Wade Farraway  
Assistant Attorney General

encl.