

Division of Securities  
Utah Department of Commerce  
160 East 300 South, 2<sup>nd</sup> Floor  
Box 146760  
Salt Lake City, UT 84114-6760  
Telephone: (801) 530-6600  
FAX: (801) 530-6980

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BEFORE THE DIVISION OF SECURITIES  
OF THE DEPARTMENT OF COMMERCE OF THE STATE OF UTAH

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IN THE MATTER OF:

**ROGER BRYCE ROWLEY, CRD#2367495**

Respondent.

**REQUEST TO SUBMIT FOR  
DECISION**

Docket No. SD-09-0013

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On or about March 18, 2009, Respondent Roger Bryce Rowley filed/submitted a request for agency review with the Utah Department of Commerce (Department), seeking review of a Utah Securities Division (Division) decision denying his application for a securities license. The Department, by letter dated March 19, 2009, advised Mr. Rowley that his March 17 request for agency review was deficient and allowed him until March 30, 2009, to file a corrected request. On March 30, 2009, Mr. Rowley faxed a letter to the Department stating he wanted to appeal the Securities Division's denial of his application, and stated the reasons he believed the Division erred in denying his application.

The Division served Mr. Rowley with its Memorandum Response to Request for Agency Review, and Request for Dismissal, by mailing him a copy on April 15, 2009. The Division filed

the memorandum with the Department on April 21, 2009.<sup>1</sup> Pursuant to R151-46b-12(5)(c) any reply to the Division's memorandum was required to be filed 5 days after service of the memorandum on him. The Division mailed the memorandum on April 15, 2009. Excluding weekends, and allowing an additional 3 days for mailing, any reply by Mr. Rowley was due April 27, 2009. No reply has been received by the Division, and the Division assumes no reply by Mr. Rowley has been filed with the Department.

Mr. Rowley's request for agency review is now ready for submission to the Department for ruling, and is ripe for decision.

Respectfully submitted this 7<sup>th</sup> day of May, 2009.



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D. Scott Davis  
Assistant Attorney General  
Attorney for the Utah Securities Division

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<sup>1</sup>As noted in the Division's Memorandum, page 4, A., Mr. Rowley never served the Division or its counsel with his request for agency review. The Division's April 21, 2009, filing of the memorandum must then be considered timely.

**Certificate of Mailing**

I certify that on the 7<sup>th</sup> day of May, 2009, I mailed a true and correct copy of the foregoing Request to Submit for Decision to:

Roger Bryce Rowley  
3366 E. Sweetwater Springs Dr.  
Washington, Utah 84780

  
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