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IN THE FOURTH JUDICIAL DISTRICT COURT
IN AND FOR UTAH COUNTY, STATE OF UTAH

THE STATE OF UTAH,

Plaintiff,

vs.

SCOT STOBBE
1836 South California Ave.
Provo UT 84606
DOB: 07/07/1958,

SAMUEL ASTON
4475 Wimbledon Dr.
Provo UT 84604
DOB: 07/26/1947,

Defendants.

INFORMATION

Case No.

Judge

OTN

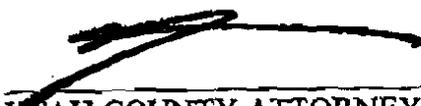
JEFFREY R. BUHMAN, Utah County Attorney, State of Utah, accuses the defendant(s) of the following crime(s):

COUNT 1: SECURITIES FRAUD, a second degree felony, in violation of Utah Code Ann. § 61-1-1, in that SCOT STOBBE and SAMUEL ASTON, on or about 08/14/2006, in Utah County, Utah, in connection with the offer, sale, or purchase of a security, directly or indirectly, did:

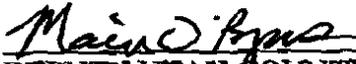
- (1) employ a device, scheme, or artifice to defraud;
- (2) make an untrue statement of a material fact or omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they are made, not misleading; or
- (3) engage in an act, practice, or course of business which operates or would operate as a fraud or deceit upon any person; and
- (i) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth \$10,000 or more; or

(ii) (A) at the time the crime was committed, the property, money, or thing unlawfully obtained or sought to be obtained was worth less than \$10,000; and
(B) in connection with that violation, the violator knowingly accepted any money representing:
(i) equity in a person's home.

This Information is based on evidence provided by Jonathan Stewart, Utah Division of Securities.



UTAH COUNTY ATTORNEY
June 5, 2007



DEPUTY UTAH COUNTY ATTORNEY
MARIANE O'BRYANT

4TH DISTRICT CT AMERICAN FORK
UTAH COUNTY, STATE OF UTAH

STATE OF UTAH vs. SCOT STOBBE

CASE NUMBER 071101132 State Felony

CHARGES

Charge 1 - 61-1-1 - SECURITIES FRAUD 2nd Degree Felony
Mandatory Appearance

CURRENT ASSIGNED JUDGE

DAVID MORTENSEN

PARTIES

Defendant - SCOT STOBBE
Represented by: JUSTIN D HEIDEMAN

Plaintiff - STATE OF UTAH

DEFENDANT INFORMATION

Defendant Name: SCOT STOBBE
Date of Birth: July 07, 1958
Law Enforcement Agency: UTAH DIV OF SECURITY
Prosecuting Agency: UTAH COUNTY
Violation Date: August 14, 2006

ACCOUNT SUMMARY

PROCEEDINGS

07-11-07 Case filed
07-11-07 Filed: Information
07-11-07 Judge DAVID MORTENSEN assigned.
07-11-07 Note: Case transferred from Provo District. Case 071402430
07-11-07 WAIVE PRELIM HEARING scheduled on August 24, 2007 at 08:30 AM
in Courtrm 2, 3rd Floor with Judge MORTENSEN.
08-24-07 Minute Entry - Minutes for Waive Prelim Hearing
Judge: DAVID MORTENSEN
PRESENT
Clerk: noreenm
Prosecutor: LUDLOW SR, ALEXANDER M
Defendant
Defendant's Attorney(s): HEIDEMAN, JUSTIN D

Audio

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CASE NUMBER 071101132 State Felony

Tape Number: DM CR2 07-27 Tape Count: 9:12

HEARING

TAPE: DM CR2 07-27 COUNT: 9:12

This matter comes before the Court for Waiver. Defendant failed to appear. Counsel is to contact the court as to when the Defendant will be able to appear in-between treatments. This matter is continued without date.

09-04-07 WAIVE PRELIM HEARING scheduled on September 21, 2007 at 09:00 AM in Courtrm 2, 3rd Floor with Judge MORTENSEN.

09-04-07 Notice - NOTICE for Case 071101132 ID 9692103
WAIVE PRELIM HEARING is scheduled.

Date: 09/21/2007

Time: 09:00 a.m.

Location: Courtrm 2, 3rd Floor
Fourth District Court
75 East 80 North
American Fork, UT 84003-0986

Before Judge: DAVID MORTENSEN

09-04-07 Filed: Letter from Mr. Heideman

09-20-07 Filed: Letter from Brent Egan MS (Faxed)

09-20-07 Filed: Stipulated Motion to Continue Waive Preliminary Hearing

09-21-07 Filed order: Order on Stipulated Motion to Continue Waiver Hearing

Judge DAVID MORTENSEN

Signed September 21, 2007

09-21-07 Minute Entry - Minutes for Waive Prelim Hearing

Judge: DAVID MORTENSEN

PRESENT

Clerk: georgias

Prosecutor: LARSON, CURTIS L

Defendant not present

Tape Number: 07-29 rm 2 Tape Count: 10:45

HEARING

By stipulation of both parties the waiver hearing is continued. The court will call counsel to get a date that is good for all parties to return on.

10-10-07 Notice - NOTICE for Case 071101132 ID 9716793

WAIVE PRELIM HEARING is scheduled.

Date: 11/09/2007

Time: 09:00 a.m.

Location: Courtrm 2, 3rd Floor
Fourth District Court
75 East 80 North

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CASE NUMBER 071101132 State Felony

American Fork, UT 84003-0986
 Before Judge: DAVID MORTENSEN

Counsel for defendant is to notify their client of the hearing. If he fails to appear, a warrant may issue.

10-10-07 WAIVE PRELIM HEARING scheduled on November 09, 2007 at 09:00 AM in Courtrm 2, 3rd Floor with Judge MORTENSEN.

11-09-07 Minute Entry - Minutes for Waive Prelim Hearing
 Judge: DAVID MORTENSEN

PRESENT

Clerk: georgias

Prosecutor: LUDLOW SR, ALEXANDER M

Defendant

Defendant's Attorney(s): HEIDEMAN, JUSTIN D

Audio

Tape Number: 07-36 rm 2 Tape Count: 9:13

HEARING

This matter comes before the court for a waiver hearing. By stipulation of both parties, the matter is continued to 12-14-2007. The defendant is to file documents to verify his medical conditions.

WAIVE PRELIM HEARING.

Date: 12/14/2007

Time: 09:00 a.m.

Location: Courtrm 2, 3rd Floor
 Fourth District Court
 75 East 80 North

American Fork, UT 84003-0986

Before Judge: DAVID MORTENSEN

11-09-07 WAIVE PRELIM HEARING scheduled on December 14, 2007 at 09:00 AM in Courtrm 2, 3rd Floor with Judge MORTENSEN.

12-14-07 PRELIMINARY HEARING scheduled on January 25, 2008 at 01:30 PM in Courtrm 2, 3rd Floor with Judge MORTENSEN.

12-14-07 Minute Entry - Minutes for Waive Prelim Hearing
 Judge: DAVID MORTENSEN

PRESENT

Clerk: georgias

Prosecutor: LUDLOW SR, ALEXANDER M

Defendant

Defendant's Attorney(s): HEIDEMAN, JUSTIN D

Audio

Tape Number: 07-39 rm 2 Tape Count: 9:06

HEARING

CASE NUMBER 071101132 State Felony

An amended information was filed. A prelim is set for 1:30 p.m.
PRELIMINARY HEARING is scheduled.

Date: 01/25/2008

Time: 01:30 p.m.

Location: Courtrm 2, 3rd Floor

Fourth District Court

75 East 80 North

American Fork, UT 84003-0986

Before Judge: DAVID MORTENSEN

4TH DISTRICT COURT - PROVO
UTAH COUNTY, STATE OF UTAH

STATE OF UTAH vs. SCOT STOBBE

CASE NUMBER 071402430 State Felony

Defendants SCOT STOBBE, SAMUEL ASTON, are linked.

CHARGES

Charge 1 - 61-1-1 - SECURITIES FRAUD 2nd Degree Felony
Disposition: July 11, 2007 Transferred

to AF #071161132

CURRENT ASSIGNED JUDGE

DAVID MORTENSEN
Division 11

PARTIES

Defendant - SCOT STOBBE
Represented by: JUSTIN D HEIDEMAN

Plaintiff - STATE OF UTAH

DEFENDANT INFORMATION

Defendant Name: SCOT STOBBE
Date of Birth: July 07, 1958
Law Enforcement Agency: UTAH DIV OF SECURITY
Prosecuting Agency: UTAH COUNTY
Violation Date: August 14, 2006

ACCOUNT SUMMARY

PROCEEDINGS

- 06-07-07 Case filed
- 06-07-07 Filed: Information
- 06-07-07 Judge FRED D HOWARD assigned.
- 06-07-07 Issued: Summons
 - Judge FRED D HOWARD
 - Hearing Date: July 10, 2007 Time: 02:00
- 06-07-07 INITIAL APPEARANCE scheduled on July 10, 2007 at 02:00 PM in Fourth floor, Rm 401 with Judge HOWARD.
- 06-13-07 Filed: Verification of Victims' and Witnesses' Rights Notification
- 06-26-07 Filed return: (Criminal) Summons
 - Party Served: STOBBE, SCOT

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CASE NUMBER 071402430 State Felony

Service Type: Personal

Service Date: June 14, 2007

06-28-07 Filed: Letter From Defendant's Wife Re: Defendants Illness and
Request for Continuance

07-10-07 Minute Entry - Minutes for INITIAL APPEARANCE

Judge: FRED D HOWARD

PRESENT

Clerk: miket

Prosecutor: SANT, JASON K

Defendant not present

Defendant's Attorney(s): JUSTIN D HEIDEMAN

Audio

Tape Number: 07-11-401

HEARING

Defendant fails to appear. Mr. Heidman indicates that Defendant under intense medical treatment at this time and requests a continuance.

Judge Howard recuses himself from this case because the co-defendant is his former neighbor. The Court reassigns this matter to Judge Mortensen and sets an initial appearance hearing on August 24, 2007 at 8:30 a.m.

07-10-07 Judge DAVID MORTENSEN assigned.

07-11-07 Note: Case Transferred to American Fork District Case #
071101132

07-11-07 Minute Entry - ORDER OF TRANSFER

Judge: DEREK P PULLAN

In accordance with a General Order of the Fourth District Court, effective immediately, the above listed Provo Case is transferred to the American Fork Department of the Fourth District Court located at 75 E. 80 N. Suite 202, American Fork, UT 84003.

All Future pleadings shall be filed with the Clerk of Court at the American Fork location and should clearly be identified by Case # 071101132. Communication with the Court regarding this case shall be made through the Clerks at the American Fork site.

They can be reached at (801) 756-9654.

 Judge DEREK P PULLAN

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